The Possible Effect of Steroids on Home Run Production

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Introduction

In a recent paper¹ entitled "On the potential of a chemical Bonds: Possible effects of steroids on home run production in baseball," physicist Roger Tobin develops a systematic analysis showing how performance enhancing drugs (PEDs) taken by an already highly skilled player could produce a dramatic increase in home run production. Tobin starts by looking statistically at home run production during the "steroid era" (1994-2003) compared to earlier eras. The number of home runs hit by a player is the product of balls-in-play and home-runs-per-ball-in-play (HRBiP). Tobin argues that the former involves skills and strategies that are not likely affected by PEDs. He therefore takes HRBiP as his metric for comparing home run production in different eras. In Fig. 2 of his paper, he shows that for elite home run hitters in the pre-steroid era (Aaron, Ruth, Mays, Killebrew, Robinson), HRBiP was approximately 0.10 whereas for hitters in the steroid era (Bonds, Sosa, McGwire, Griffey, Palmeiro) the number jumped to 0.15, a 50% increase.

Tobin then investigates whether it is plausible that such a large increase can be attributed to PEDs. In Section II entitled "What do steroids do?," he presents lots of evidence from the scientific literature justifying his starting assumption for the remainder of the analysis. Namely, he assumes that the main effect of steroids *vis-à-vis* home run production is to increase the batter's muscle mass by 10%. Since I have no expertise in this area, I will simply take it as a reasonable starting point. Tobin next develops Section III entitled "How much can more muscle enhance home run production?" This section is really the heart of the paper and the one I will discuss at length herein. Tobin's chain of reasoning involves two distinct steps:

- 1. Increased muscle mass results in higher bat speed and therefore higher batted ball speed
- 2. Higher batted ball speed results in longer fly balls and therefore higher HRBiP

Step 1 involves partly biomechanics and partly physics. Step 2 involves partly physics and partly statistics. Tobin arrives at the following conclusion:

It is plausible that a 10% increase in muscle mass can lead to a 50% increase in HRBiP for the elite home run hitters.

¹ The paper is published in American Journal of Physics, **76**, 15-20 (2008). A copy can be downloaded for personal use at http://webusers.npl.illinois.edu/~a-nathan/pob/Tobin_AJP_Jan08.pdf.

In the present paper, I will discuss the steps in the analysis chain, first presenting Tobin's argument, then presenting my own. Although Tobin and I may disagree on some details, I will end up agreeing with his essential conclusion.

Muscle mass and batted ball speed

Tobin initially argues that a 10% increase in muscle mass leads to the batter supplying a 10% greater force to the bat, resulting in a 5% increase in bat speed. The argument is essentially one of energy conservation, where the work done by the batter in applying a force to the bat over a fixed distance is converted to kinetic energy of the bat. Since kinetic energy is proportional to the square of the velocity, a 10% greater force leads to a 5% increase in bat speed. In a "Note added in proof," Tobin revises his estimate downward to 3.8% based on the argument given by Adair that the work provided by the muscles is converted to kinetic energy that is shared between the bat and some fraction of the body mass of the batter, mainly the arms.² The essential point is that both the bat and the batter's arms are moving. Therefore not all of the work provided by the body muscles goes into kinetic energy in the bat, and a fraction must also go into kinetic energy of the body. In an unpublished article that I have posted on my web site,³ I have estimated that only about half the kinetic energy goes into the bat. With the additional assumption that half of the batter's pre-steroid weight is muscle, Tobin and I both agree that a 10% increase in muscle mass can lead to about a 3.8% increase in bat speed.

From a purely physics point of view, the easiest part of the analysis is to estimate how an increase in swing speed affects batted ball speed. Suppose a pitched ball crosses the plate at 85 mph, a reasonable value for a good fastball given that the ball loses about 10% of its speed between pitcher and batter. Suppose also that the pre-steroid batter swings the bat at 70 mph at the sweet spot location. Then assuming a perfect head-on collision, the resulting batted ball will exit the bat at about 100 mph. If such a ball is slightly undercut giving it backspin and is launched at a 30°-35° angle, it will travel close to 400 ft. Suppose now the post-steroid batter swings the bat at 3.8% faster, or 72.7 mph. Then the batted ball speed will increase to about 103 mph, 4 a 3% increase and a number Tobin agrees with in his "Note added in proof."

So, Tobin and I both conclude that a 10% increase in muscle mass can result in a 3% increase in batted ball speed, a number that is on very solid footing. We next examine how such an increase will affect home run production.

² The argument is presented in Adair's book, *The Physics of Baseball*, 3rd Ed. (HarperCollins, New York, 2002). See the discussion in Ch. 6, The Optimum Bat Weight. Note particularly Fig. 6.1 (p. 117) and the formula on p. 139.

³ http://webusers.npl.uiuc.edu/~a-nathan/pob/swingspeedmass.pdf

⁴ In Tobin's paper, the factors multiplying v_{bat} and v_{pitch} in Eq. 1 are approximately 1.2 and 0.2, respectively.

Batted ball speed and home run production

To estimate how a 3% increase in batted ball speed affects home run production,

an aerodynamics model is needed to 0.005 determine the additional distance traveled by a fly ball. Using statistical information on the distribution of fly ball distances relative to the fence, the Probability (per ft) change in HRBiP can then be estimated. Unfortunately, that statistical information is not 0.0025 readily available, so Tobin resorts to a numerical simulation. For a given aerodynamics model,⁵ he assumes a normal distribution of batted ball speeds and launch angles to calculate a distribution of fly ball distances. He defines a home run to be a fly ball that has a height of at least 9 ft at a distance

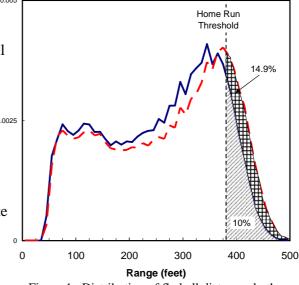


Figure 1. Distribution of fly ball distances both of 380 ft from home plate. He then before (solid) and after (dashed) an increase of 3% in adjusts the parameters of the normal average batted ball speed. distributions until the distribution results

in 0.10 HRBiP, the baseline number for elite batters. The resulting fly ball distribution is shown as the solid curve in Fig. 1. He then repeats the calculation with the mean batted ball speed increased by 3%, as expected for a 10% increase in muscle mass, resulting in the distribution shown by the dashed curve in the figure. He finds HRBiP increase to 0.149, an increase of nearly 50%.

Given the importance of Fig. 1 for the conclusion of the analysis, it is worthwhile discussing it in more detail. The figure shows that the distribution of fly ball distances is smooth and continuous, whereas a home run is a binary event on the tail of that distribution. For elite home run hitters, the slope of the distribution at the home run threshold (380 ft) must be very steep to achieve simultaneously the 10% HRBiP figure and the known rarity of very long home runs, say those in the vicinity of 500 ft or greater. The steepness of the slope means that there must be a lot of near misses, so that a small change in the mean of the fly ball distribution can have a large effect on the fraction falling above the home run threshold.

There is an alternate way at arriving at the same conclusion, using data compiled on actual home run distances⁶ for the 2007 MLB season. By inspecting the distance of the landing point from the nearest fence, one can estimate that each additional foot of fly ball distance increases the home run probability by 4%. Combining that with the aerodynamics "rule of thumb" that each additional mph of batted ball speed increases the

⁵ Tobin recognizes that there is uncertainty in the so-call drag and lift coefficients that are needed to carry out the trajectory calculation. However, the uncertainty does not alter his principal conclusion.

⁶ See http://www.hittrackeronline.com.

fly ball distance by 5.5 ft, along with the previously estimated mean increase of 3 mph in batted ball speed, and one arrives at a 66% increase in home run probability, a number even larger than Tobin's estimate. Adair has carried out a similar analysis. Based on his detailed study of home run statistics, he estimates that each addition percent of fly ball distance increases home run probability by about 7%. Using 380 ft as the baseline home run distance, a 3 mph increase in batted ball speed leads to a 4.3% increase in batted ball distance and therefore a 30% increase in home run probability. Putting together all these independent analyses, I find that an increase in HRBiP in the range 30-70% is completely plausible.

Summary and Conclusions

I find that the conclusion reached by Tobin that a 10% increase in muscle mass can lead to a large increase in home run probability to be quite well supported by my own analysis. In fact, Tobin puts the increase in the range 30-70%, depending on the details of the underlying assumptions. Obtaining a precise number is not really the point of the paper. The point is that a modest increase in muscle mass can lead to a very large increase in HRBiP. On that, we both agree.

I thank Prof. Roger Tobin for many interesting discussion, for a critical reading of this paper, and for providing the figure. I thank Greg Rybarczyk for providing the 2007 home run data. And I thank my mentor Prof. Bob Adair for his seminal contributions to our understanding of the science of baseball.

⁷ Adair, *ibid*, p. 97.